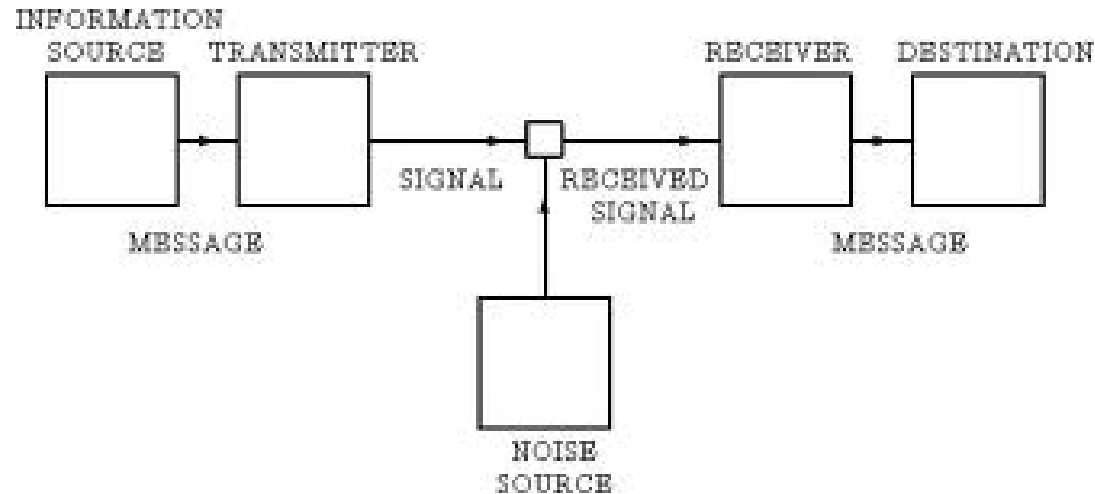


Production and Communicative Efficiency

- Is production affected by considerations about efficient communication?

Noisy channel theorem



- **Recall:** Shannon's *channel coding theorem* places limits (upper and lower) on transmission rates through noise
- Ideal coder should aim for transmission rates at channel capacity C , but not higher

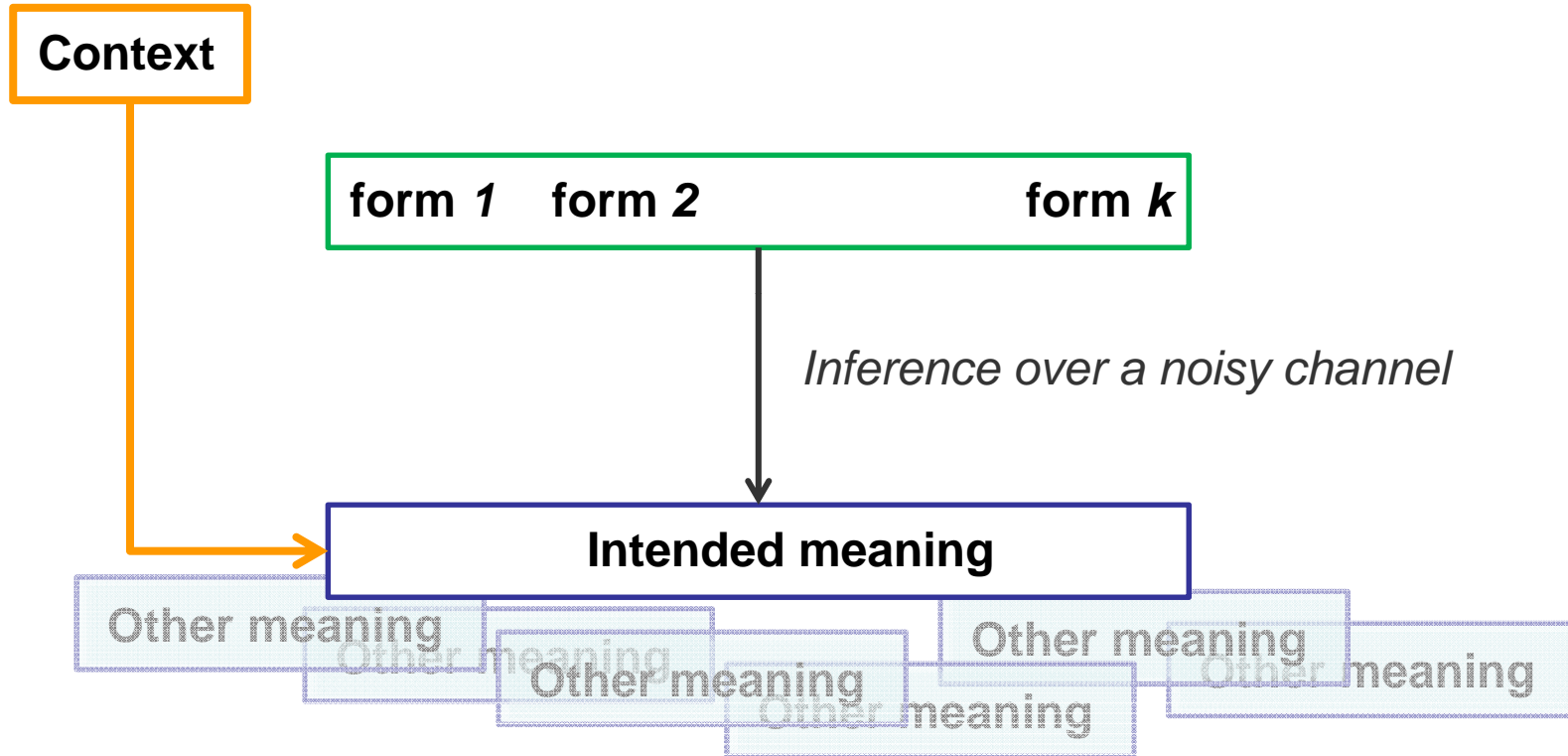
Uniform Information Density

Given a choice, speakers prefer to keep the amount of information transmitted per unit signal uniform.

Jaeger (2006, in prep), Levy & Jaeger (2007), based on Genzel & Charniak (2002) and Aylett & Turk (2004)

→ Speakers should spread more information over more signal (where there is a choice)

Boundedly rational Bayesian inference over a noisy channel



Information Density & Auxiliary Contraction

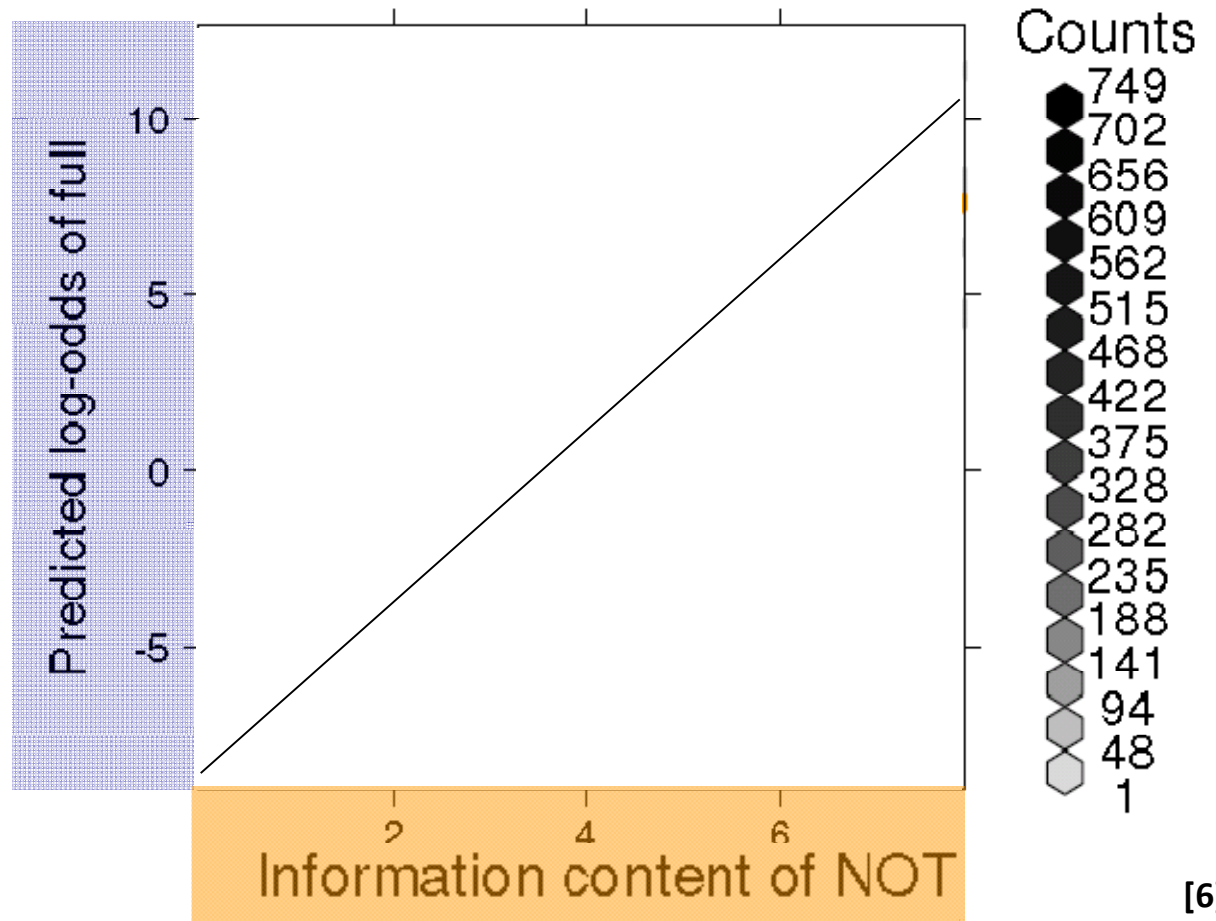


Frank & Jaeger (2007-AMLaP; 2008-CUNY; 2008-CogSci; in prep)

Brain and Cognitive Sciences, University of Rochester

Efficient Morpho-Syntactic Production

Pres. Clinton did **n't/not** *have ...*



Estimating the information carried by a contractible element

Clinton did NOT have ...
w-2 *w-1* *w*

$I(\text{NOT} \mid \text{context})$

Information theoretic definition of Shannon information content

\parallel

$-\log p(\text{NOT} \mid \text{context})$

Use trigram model to estimate probability (backoff)

\approx

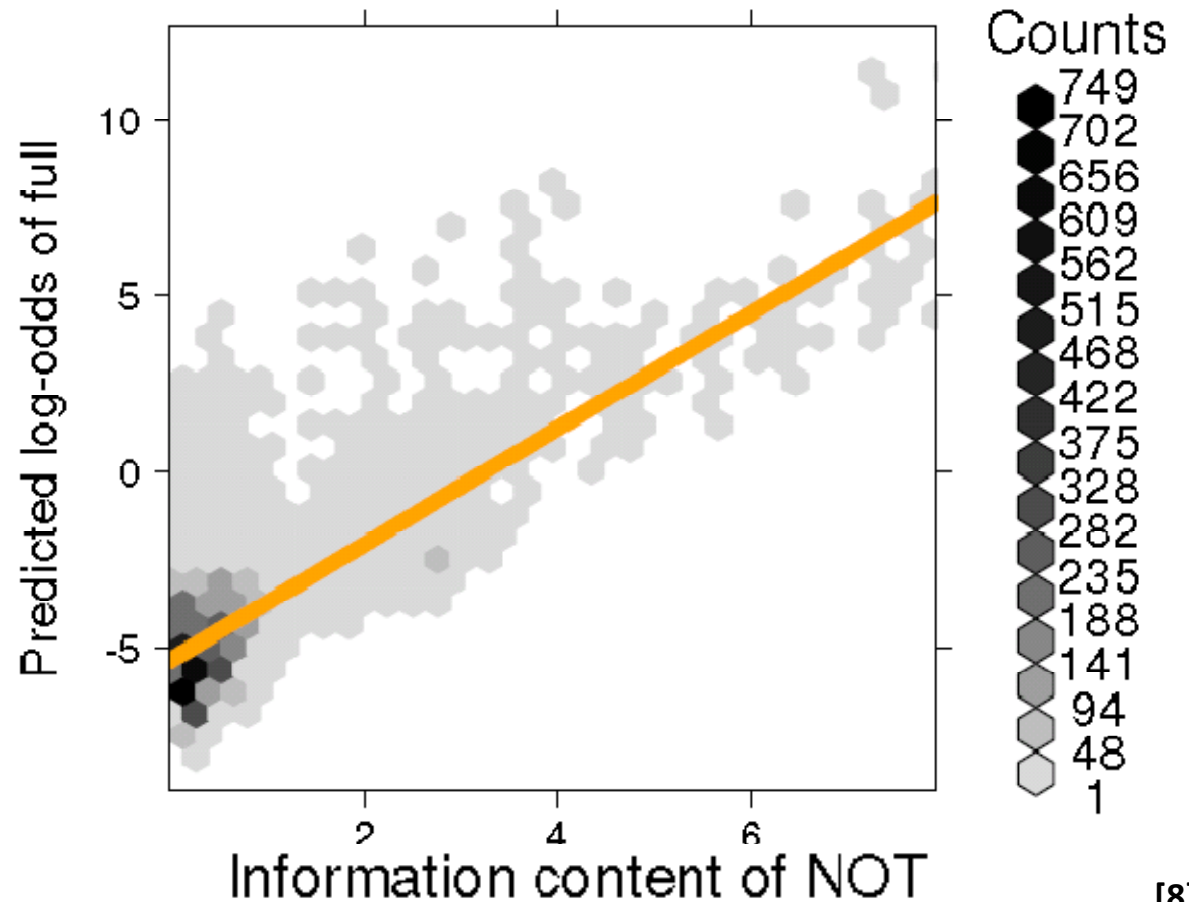
$-\log p(\text{NOT} \mid \text{"Clinton did"})$

\parallel

$-\log [p(\text{"not"} \mid \text{"Clinton did"}) + p(\text{"n't"} \mid \text{"Clinton did"})]$

Efficient Morpho-Syntactic Production

Pres. Clinton did **n't/not** *have ...*



Data



- Extracted from a corpus of spontaneous American English speech (Switchboard, 800k sentences in 650 dialogues)
 - Only cases that are contractible in American English are included (e.g. *not* “I have/*’ve a car”).
 - **HAVE:** e.g. *’d* vs. *had* (>2,400 contractible cases)
 - **NOT:** *n’t* vs. *not* (> 5,000 contractible cases)
 - **BE:** e.g. *’s* vs. *is* (> 9,000 contractible cases)

Analysis



- Mixed logit model to analyze when speakers' choose **full over contracted** forms depending on the information carried by it.

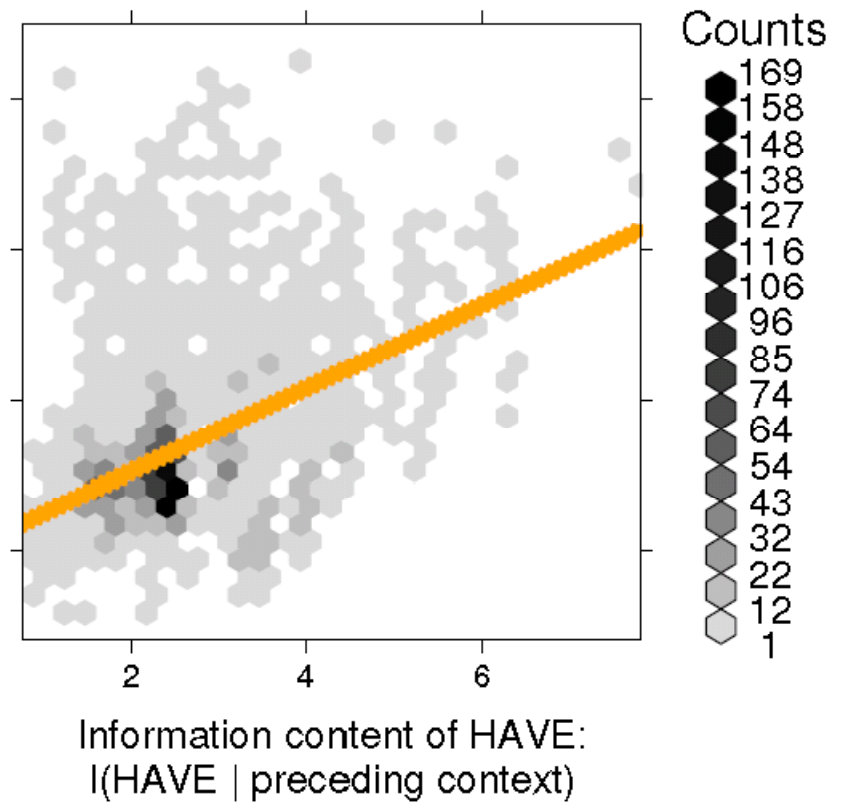
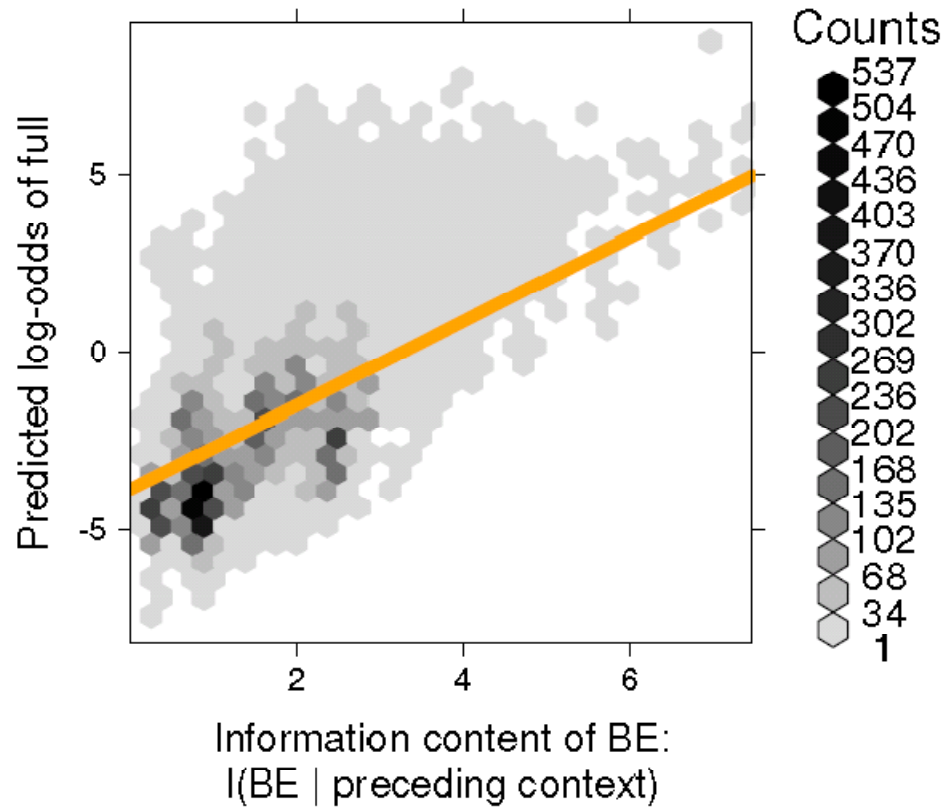
$$\begin{aligned} \text{logit}[p(\text{full})] &= \ln \frac{p(\text{full})}{p(\text{reduced})} = \\ & - \beta \log p(\text{NOT} | w_{i-1}) - \beta \log p(\text{NOT} | w_{i+1}) \\ & + X_{\text{Controls}} \beta_{\text{Controls}} + Zb \end{aligned}$$

- Simultaneously controlling for:
 - Position in intonational phrase
 - Complexity of *upcoming* material
 - Complexity of *host* (e.g. pronominality, number of words)
 - Speech rate and fluency (e..g presence of filled pauses)
 - Social effects (gender, education)
 - Random effects for individual differences

Replicated for

{WAS, WERE, AM, ARE, IS, WILL}

{HAD, HAS, HAVE}



Summary

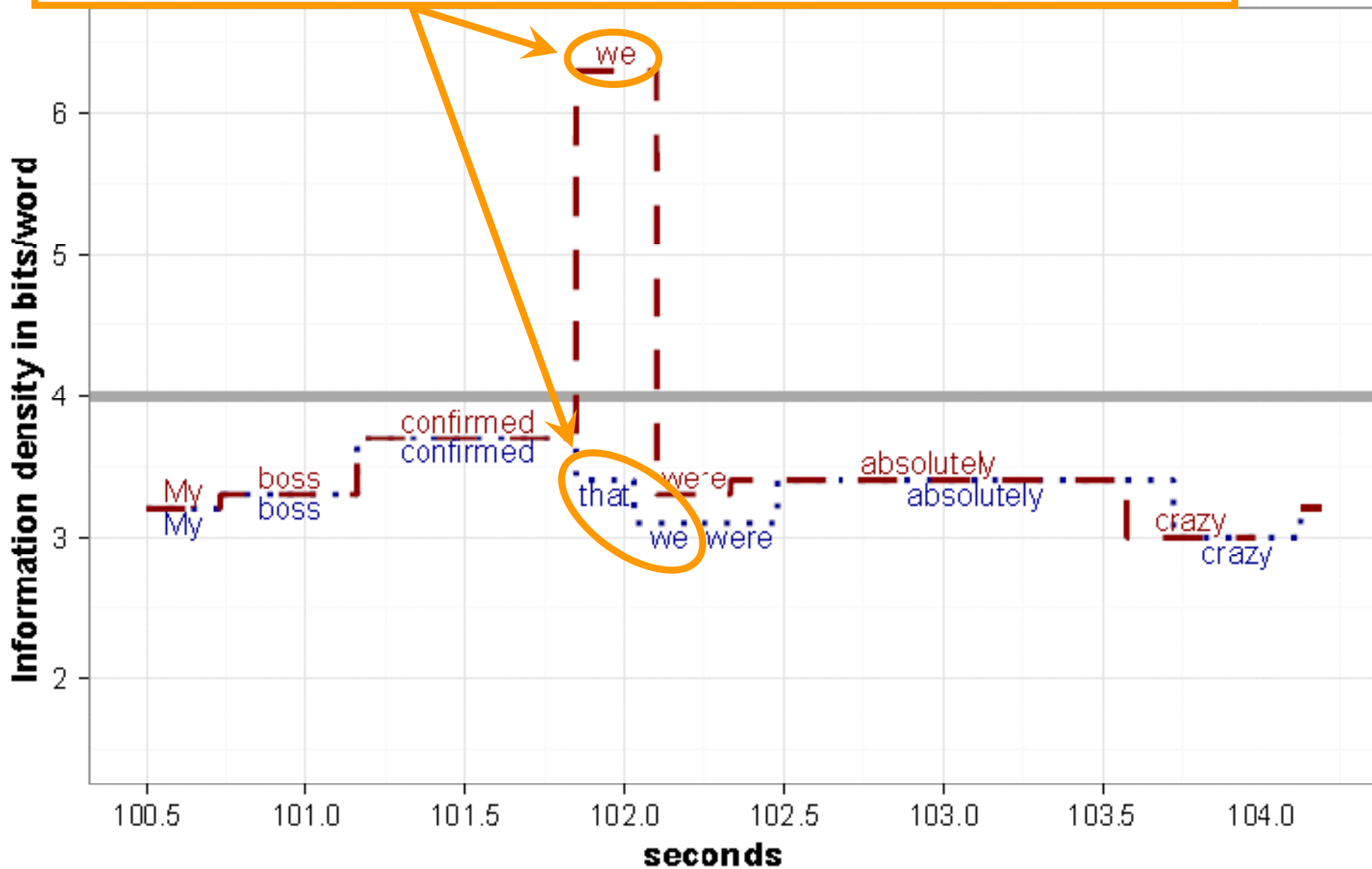


- Cases that would otherwise be more information dense are less likely to be contracted.
- Predicted by Uniform Information Density ...
- and other accounts that predict a trade-off between the amount of signal provided and the redundancy of the linguistic unit (e.g. negation) in its context.

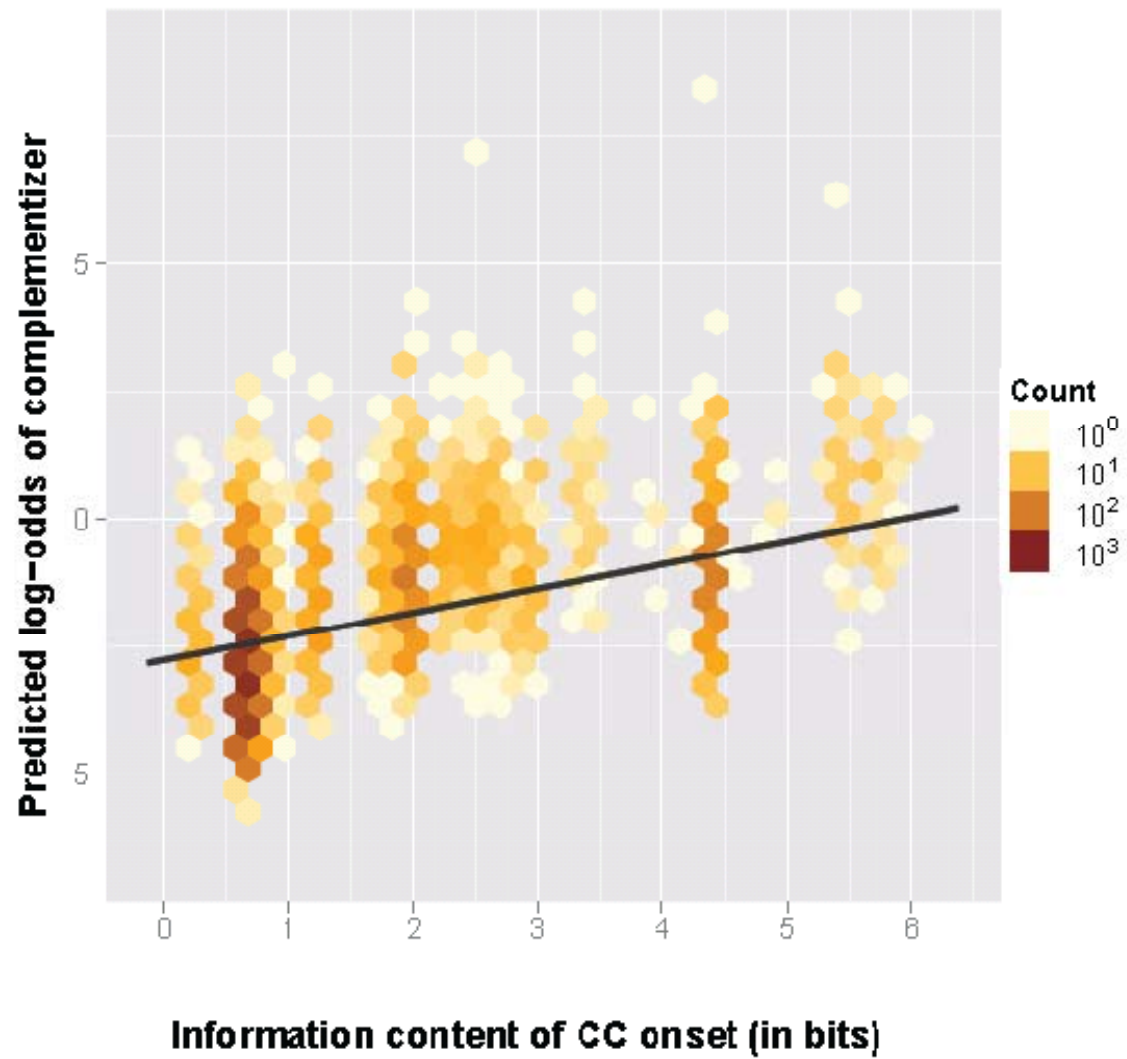
'Choices' at many levels in production

- Utterance level:** *Move the triangle to the left.*
Select the triangle. Move it to the left.
- Phrasal level:** *She already ate (dinner)*
She stabbed him (with a knife).
- Word level:** *I read a book (that) she wrote.*
- Morphological level:** *I've\have gone there.*
- Phonological level:** *t/d-deletion; final cluster reduction;
vowel weakening*
- Phonetic level:** *formant energies, F1/F2 ratio, speech rate*

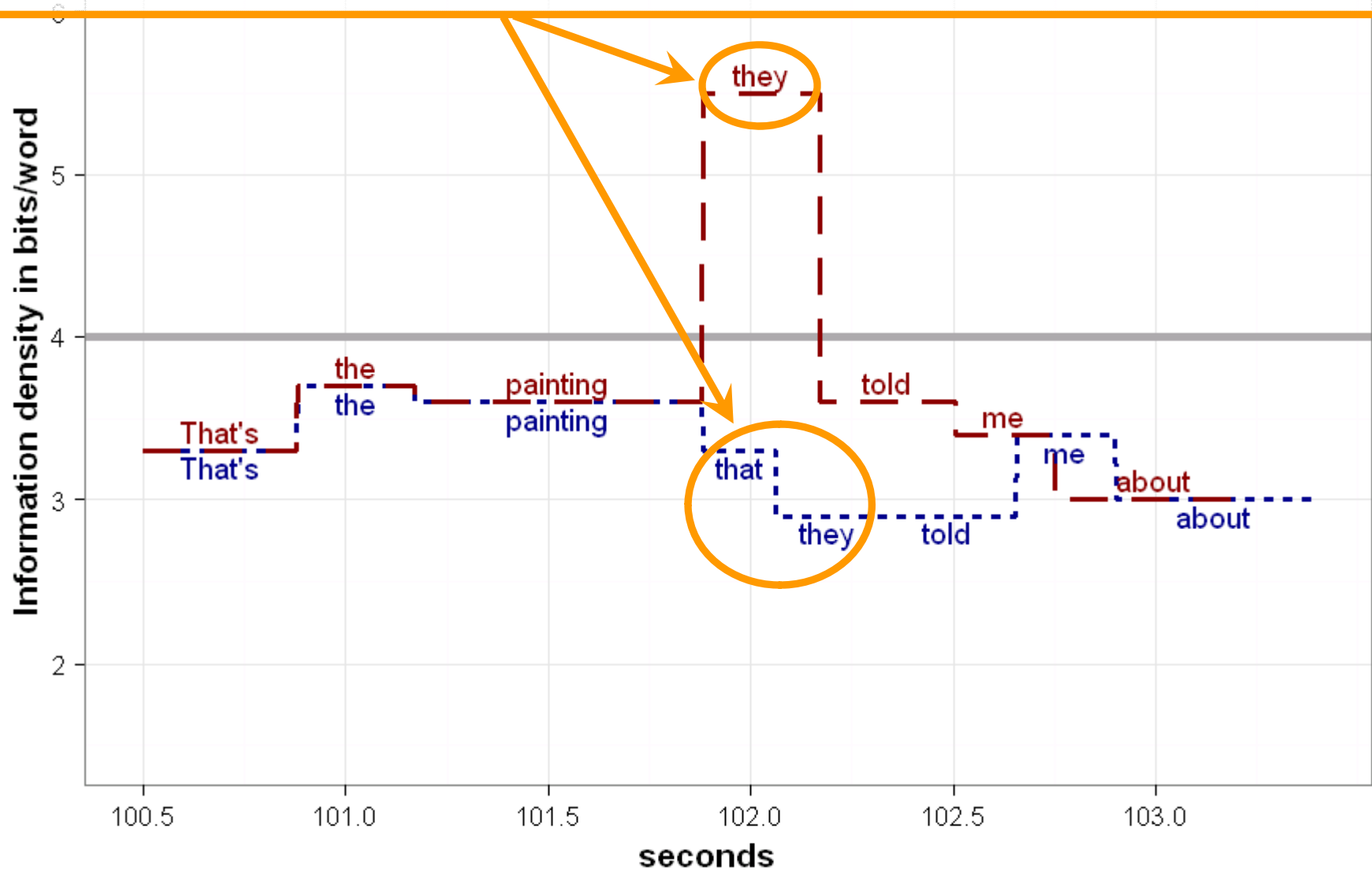
$$\text{Information} = -\log p(\text{CC} \mid \text{ctxt}) + -\log p(\text{onset} \mid \text{CC}, \text{ctxt})$$



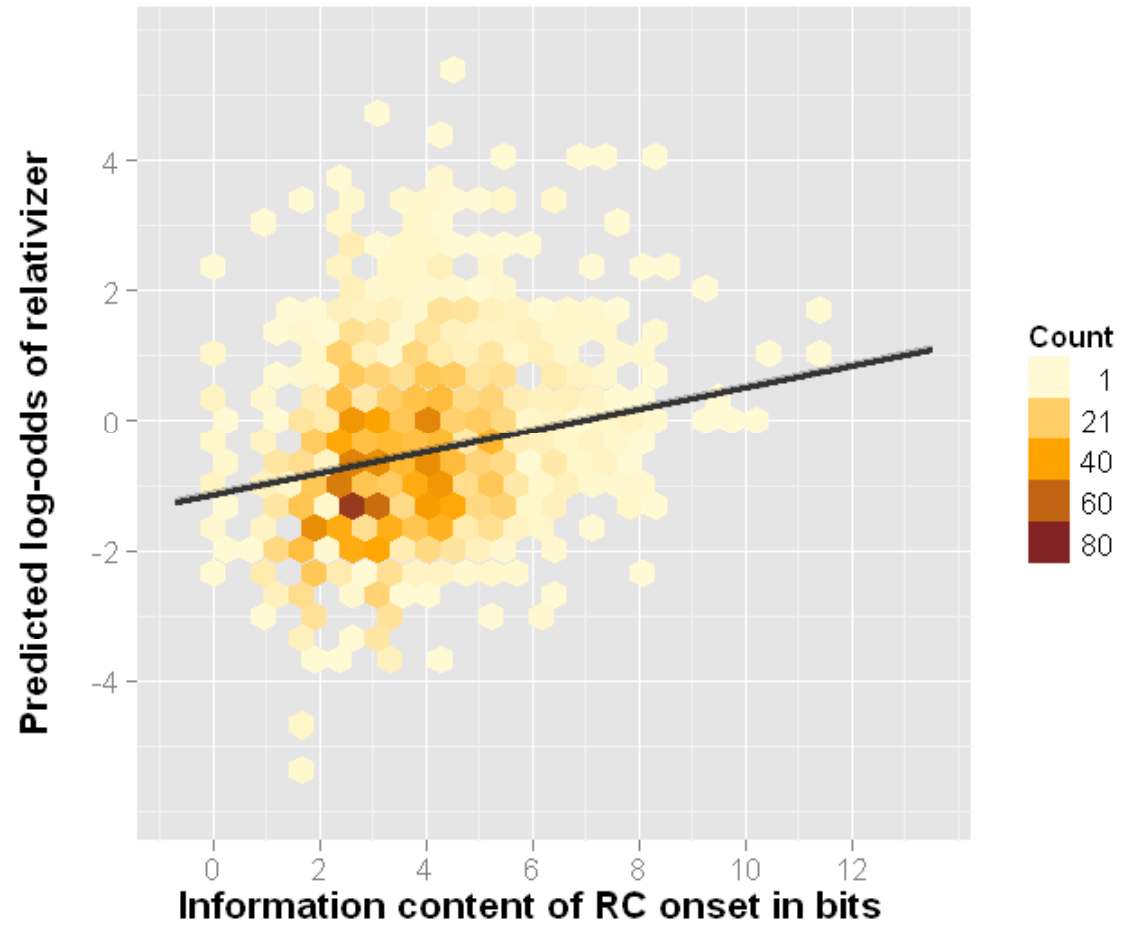
Results



$$\text{Information at RC onset} = \log \frac{1}{p(\text{RC} | \text{ctxt})} + \log \frac{1}{p(\textit{they} | \text{RC}, \text{ctxt})}$$

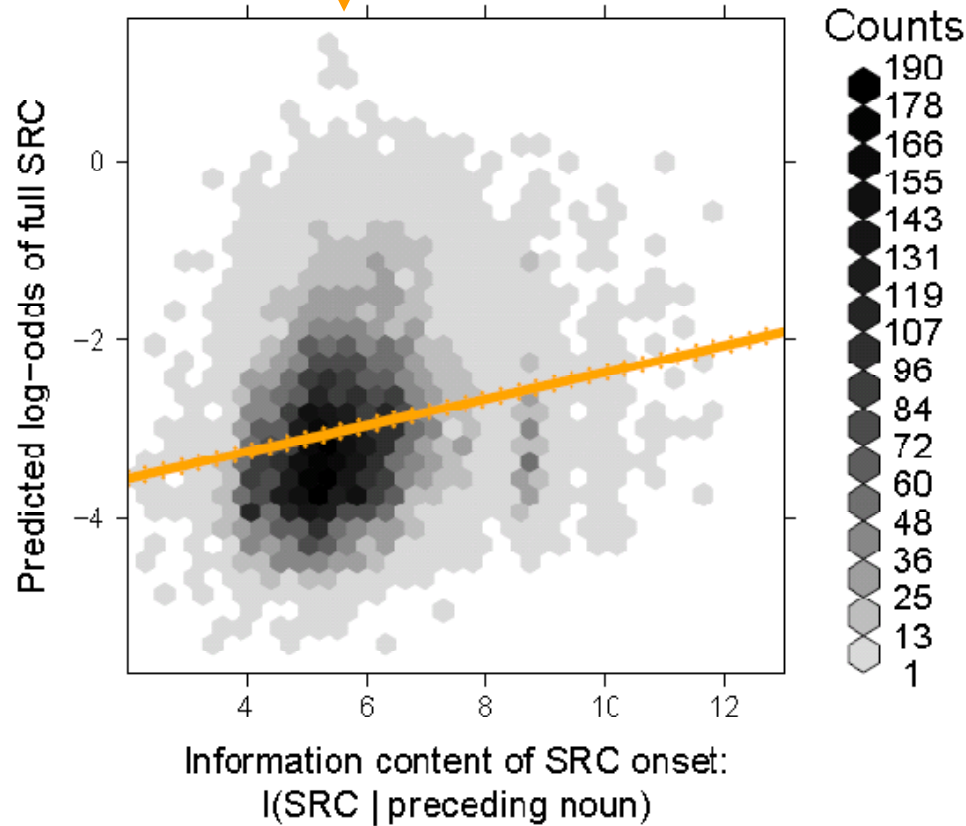


Results



SRC reduction

*The style of **life** [(that was) chosen by the beatnik generation] is designed to enhance sexual experience.*



High Information Environments (Producing Dispreferred Structure)



Information per word throughout discourse in Mandarin Chinese



Information Density & Inter-clausal Planning



Information Density & Resumptive Morphology in Yucatec



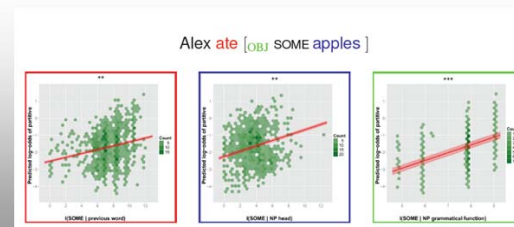
Robustly Communicating Core-Meaning outranks Precision?



Degen^{**} and Jaeger^{*} (2011-LSA)

⁺ Linguistics, University of Rochester

^{*} Brain and Cognitive Sciences, University of Rochester



Question

- Are similar biases operating during language acquisition that then induce changes in representations/distributions over representations compared to the input language?
- Such biases would also provide an explanation as to how the observed correlations between information density and speakers' preferences in production arise (via lexicalized grammaticalization)

Information – signal trade-off in acquisition



Fedzechkina, Jaeger & Newport
(2011, in prep)

Brain and Cognitive Sciences,
University of Rochester

The following slides are omitted since they contain unpublished materials. Thank you for understanding.

Conclusion

- In **incremental production**, we observe a bias to provide more linguistic signal where information density would otherwise be high, thereby lowering information density.
- During the **acquisition** we find the same/a similar bias at work: Learners condition the use of linguistic form in such a way that the unexpected is more likely to be marked by more linguistic signal.

wires.wiley.com/cogsci

Focus Article

On language 'utility': processing complexity and communicative efficiency

T. Florian Jaeger^{1*} and Harry Tily²

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Redundancy and reduction: Speakers manage syntactic information density

T. Florian Jaeger

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