Intonation across Spanish, in the Tones and Break Indices framework

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Abstract

This paper describes some of the more salient intonational phenomena of Spanish, and reviews several of the most pressing questions that remain to be addressed before a definitive model of the system can be incorporated into a consensus transcription system for the language. The phenomena reviewed include the metrical underpinnings of the tune, and some of the local tone shapes that are anchored at stressed syllables or at phrase edges in several common intonation contours. The description of known facts is couched in the Autosegmental-Metrical model of intonational phonology, as is the review of outstanding questions. The description is used to motivate the preliminary transcription conventions proposed by the Spanish ToBI development group.

1. Who and what is Sp_ToBI?

The study of Spanish intonation has a long and illustrious history, beginning with the seminal observations of Navarro Tomás in the first half of the previous century (Navarro Tomás, 1918, 1939, 1944). The 1944 monograph, in particular, was monumental for the size of the corpus on which it is based and for its breadth of coverage of the tonemas (i.e., “tones” in the British school sense of the term). Navarro Tomás was also the first researcher to systematically describe some of the major differences among dialects, such as the use in the Caribbean varieties of a steeply falling rather than a rising tonema in pragmatically neutral, syntactically marked yes-no questions. The field has benefited further from two excellent reviews of subsequent literature at quarter-century intervals (Kvavik and Olsen, 1974; Alcoba and Murillo, 1999), with the first covering more dialects and the second covering more aspects of intonation other than the tonemas. There has been strong interest recently in building on this earlier work, recasting it within what has come to be called the Autosegmental-Metrical (AM) model of intonational phonology (Ladd, 1996). Work in this framework includes both careful phonetic studies of peak alignment and scaling (e.g. Prieto, Van Santen & Hirschberg, 1995), and a comprehensive catalog of tunes (in the AM sense of the term) in several major New World and Peninsular varieties (Sosa, 1991, 1999). Hualde (2000) summarizes some of this more recent literature, focusing on three phenomena that are particularly relevant for comparing intonation across the Ibero-Romance languages. In this paper, we will extend Hualde’s review, listing other points where there is clear agreement and outlining other questions that need to be addressed before an AM model can be incorporated into a consensus transcription system within the Tones and Break Indices (ToBI) framework (Jun, in press).

We mention a consensus transcription system here because we write this paper as representatives of the Spanish ToBI (Sp_ToBI) development group. The group consists of participants at the first Sp_ToBI workshop¹ and several others, such as Juan Manuel Sosa, who advised us at various times before and after the meeting. We are an informal coalition of researchers from a wide variety of disciplines who would like to have a consensus annotation system for a large variety of reasons. Before we can build a Sp_ToBI system, we need to achieve a consensus AM analysis of Spanish intonation. We know this will not be easy,

¹ This workshop was held in October, 1999, in the Ohio State University Department of Spanish and Portuguese. It was co-sponsored by the Department of Linguistics, and funded under an Interdisciplinary Seedgrant awarded by the university’s Office of Research to Mary Beckman, Marjorie Chan, Terrell Morgan, and Donald Winford. Before the workshop, José Ignacio Hualde prepared materials, including the dialogs from which many of the figures in this paper are taken, so that we could examine and compare recordings of the same sentences uttered in the same contexts by several speakers. Norma Mendoza-Denton took meticulous minutes of our discussion of these utterances at the meeting, and Julia Tevis McGory and Manuel Díaz-Campos used these minutes to make a Sp_ToBI web page that lists the preliminary set of tags which were agreed upon at the meeting, with accompanying speech files illustrating their use. See the Sp_ToBI homepage (http://ling.osu.edu/~tobi/sp-tobi) for these as well as a longer version of this paper.
for several reasons, not least of which is the sheer size of the problem. Spanish is spoken by more than 350 million people (Grimes, 2000) and is a national language in Spain, Mexico, and more than a dozen other major Caribbean and South American countries. Therefore, the first question we had to address was: Can we talk meaningfully about “Spanish intonation”? Should we instead be building separate Sp_ToBI conventions for each of the different major dialect areas? Our group decision was to aim at breadth of coverage even if it means sacrificing elegance of analysis. We hope to develop a Pan Spanish ToBI. This means choosing an initial set of tags to capture core phenomena that are shared across dialects, and then extending the system incrementally to capture all meaningful distinctions in each dialect that we study in more depth, even if the distinction is not contrastive in every other variety. No doubt some of these tags will seem overly allophonic to speakers of some dialects — i.e., not the broad phonemic transcription that ToBI systems are intended to be (Beckman, Hirschberg, Shattuck-Hufnagel, in press). However, this seems a small price to pay if the tags can capture both the functional parallels and the surface differences that are most likely to cause confusion in conversations between speakers of different dialects.

With that caveat, then, we will motivate our preliminary set of Sp_ToBI tags by reviewing what is known about Spanish intonation. This review is organized as follows. Section 2 describes what is known about Spanish metrical structure and lists the major outstanding questions there. Sections 3 and 4 illustrate some of the tunes that have been observed in declaratives and in interrogatives. Section 5 overviews the problems posed by more global properties — upstep and downstep — and the questions that these phenomena suggest about the phrasing and the analysis of the tune at phrase edges. Section 6 summarizes by listing the conventions that the group agreed upon at the first Sp_ToBI workshop.

2. The metrical scaffolding: Phrasing and stress

A first set of questions concerns phrasing. As does every other language that has been described in the ToBI framework, Spanish has tones that are anchored to phrase edges, and the intonational phrases that are marked by the placement of these boundary tones are an important component of the metrical scaffolding of utterances in the language. Figure 1 illustrates, using utterances of a minimal pair from Alcoba and Murillo (1999), contrasting in placement of a medial phrase boundary. After the steep initial rise from Cuando to the end of hubo, there is a marked pitch downtrend in both utterances. In Figure 1a, this downtrend is a steep fall that is interrupted by the continuation rise on the last syllable of hablado, after which a new, more gradual downtrend starts and continues over the entire second clause. In Figure 1b, by contrast, the downtrend is a more gradual one, like the downtrend on Juan se fue in Figure 1a. Moreover, it continues into Juan, where it is again interrupted by a continuation rise, after which the pitch range is low overall. In addition to these pitch correlates of the phrasing, there is also a marked final lengthening that accompanies the continuation rise in each utterance.

The existence of such contrasts in phrasing has long been noted in the literature. For example, the utterance-medial boundary pitch movement in each of the utterances in Figure 1 is one of Navarro Tomás’s (1944) five tonemas, the semianticadencia (‘half anti-fall’ — i.e., ‘half rise’). The notion of tonema also captures the fact that the choice of phrase-final pitch configuration is contrastive, as well as the phrase boundary placement. A first goal of any AM analysis, then, is to analyze these contrasting configurations in terms of autosegmental tone targets. Should we represent the ‘half rise’ in terms of a mid tone at the edge (M%) or in terms of a H% boundary tone that does not reach the F0 level of the peak on hubo hablabo because of its preceding tonal context? Also, how should the pitch minimum at the beginning of the “half rise” be analyzed? A closely related question is whether there is just one level of intonational phrasing, as in Japanese (Venditti, 1997), or two levels, as in Greek (Arvaniti & Baltazani, in press). Sosa (1991, 1999) argues that the inventory and distribution of tones support only one level of intonational phrasing, whereas Nibert (2000) and Hualde (2000) argue for two levels, but on somewhat different grounds. The arguments for the different analyses hinge on claims about how pitch range effects and the amount of final lengthening interact with the choice of tones near the phrase boundary. However, the evidence to support any of these claims is still inconclusive. Therefore, the Sp_ToBI group opted for a compromise analysis, by which we mark boundary tones only for a single level of intonational phrasing, but leave gaps for a possible
intermediate level in the hierarchy of break index values.\(^2\) We will return to this issue in Section 5, after reviewing the other metrical positions where tones are associated internally to the intonational phrase.

A second set of questions involves the landmarks for tone association within the intonational phrase. All dialects of Spanish have lexical stress, and it is impossible to talk about Spanish intonation without reference to this prosodic construct. Location of stress is contrastive, as in Italian. For example, \textit{número} ‘I enumerate’, which has the “default” penultimate stress, contrasts both with \textit{número} ‘number’ and with \textit{numéro} ‘she enumerated’. As in other languages where stress is a useful prosodic descriptor, stress can be defined as a metrical position that is marked phonologically and phonetically by the congruence of prominence-lending properties on several autosegmental tiers, including the tone tier. For example, vowels in stressed syllables tend to be phonetically longer than vowels in un stressed syllables in the same position in the phrase (see, \textit{inter alia}, Quilis, 1971, Face, 1999). A phonological corollary of this durational effect is the syllabic parsing of vowels in sequence. For example, in Figure 1, the /o/ in the final unstressed syllable of \textit{hubo} merges with the word-initial /a/ of \textit{hablado} to yield [βwa]. The single most reliable phonological marker of lexical stress, however, is the licensing of tone (Quilis, 1971). When a word is produced as a complete utterance, the intonation contour of the utterance has at least one tone target that is anchored to the stressed syllable. Following the usage of Bolinger (1958), Bolinger & Hodapp (1961), Pierrehumbert (1980), and all subsequent work in the Autosegmental-Metrical framework (see Ladd, 1996), we will call a tone or tone sequence that is anchored to a stressed syllable a “pitch accent”. A word containing a stressed syllable that is associated to a pitch accent is then accentuated.

This phonological marker of stress is illustrated in Figure 2, which shows productions of the sentence \textit{Le dieron el número de vuelo}, produced by two male speakers in simulated response to \textit{¿Qué le dieron a María cuando fue al aeropuerto?}, a question invoking a broad focus of attention on the phrase \textit{el número del vuelo}. The speakers are from different dialect areas. However, both produce a rise to a pitch peak around the stressed syllable in each of \textit{dieron, número}, and \textit{vuelo}. Note that the timing of the rise differs, both between speakers and between positions in the sentence for the Venezuelan speaker. That is, where the Castilian speaker begins the rise at the onset of the rhyme, the Venezuelan speaker shows an extended trough across the stressed syllable in \textit{dieron} and \textit{número}. For the sentence sentence-final \textit{vuelo}, however, the Venezuelan rise looks like the Castillian accent pattern, with the rise starting at the beginning of the rhyme in the stressed syllable and ending well before the end of the syllable. How can we account for the positional difference within the AM framework?

Answering this question requires that we simultaneously address three other questions, all of which involve the issue of possible tonal hallmarks of a higher-level stress contrast, independent of the contrast between stressed and unstressed syllables within a word. The first of these questions concerns the notion of “sentence stress” or prominence by position. As in many “scrambling” languages, including Finnish (Välimaa-Blum, 1988) and Serbian (Godjevac, 2000), word order as well as ellipsis is strongly influenced by informational structure. The nominative argument of a verb, for example, can be elided completely (i.e., Spanish is a “pro-drop” language), but if it is expressed by a noun phrase, that noun phrase can come either before the verb (as in Figure 1a) or after it (as in Figure 1b). The choice of word order seems to be closely related to the intonational structure. That is, other things being equal, final position is metrically strong (see, e.g. Contreras, 1980), and we will refer to an accented word in this position as bearing the “nuclear accent” of the phrase. Many other Romance languages differentiate nuclear position from other less prominent positions by the choice of pitch accent type. For example, Frota (this issue) and D’Imperio (this issue) describe the broad focus declarative patterns for European Portuguese and Italian in terms of a peak accent (L+H* or H*) on non-final words, but a H+L* accent (with pitch falling onto the accented syllable) for the word in nuclear position. Could the difference between the accents on \textit{dieron} and \textit{vuelo} in Figure 2a be a similar categorical marker of this positional contrast?

The second and third questions involve the notion of focus. Several other Romance languages have a mechanism for highlighting a word in metalinguistic corrections by the use of a special “narrow focus” pitch accent type. For example, Frota (this issue) describes a contrast in European Portuguese between H+L* for nuclear accents in broad focus utterances and H*+L (a later fall that positions the peak more nearly in the middle of the accented syllable) for a word in narrow focus. D’Imperio (this issue) describes a similar contrast for Neapolitan Italian between H+L* for broad focus and L+H* for narrow

\(^2\) Break indices are numbers corresponding to the degree of perceived disjuncture at each word edge. See section 6.
focus. In both of these languages, the marking of narrow focus on a non-final word is accomplished by the use of the special focal accent, accompanied by “deaccenting” — i.e., the suppression of accents on any following words within the same intonational phrase. Is there a comparable differentiation between focal and non-focal accent types in Spanish? Also, is there deaccenting of post-focal material when the context calls for narrow focus on a non-final word? We will address these questions next, beginning with those that involve the number of distinct pitch accent types.

3. Peak accents and falling accents in some declarative contours

Contreras (1977) proposes a special “melodic peak” for the accent of any constituent in “rheme” position, whether or not the rheme is also sentence-final. This suggests that the tonal hallmarks of early narrow focus are similar to those of nuclear position, perhaps both showing the early peak of the accent on vuelo in Figure 2. Figure 3a lends support to this idea. Here the word número is in narrow focus, and it has an earlier rise than in the broad-focus utterance of the same sentence by the same speaker in Figure 2a. The Sp_ToBI conventions follow Contreras in representing both of these instances of “melodic peak” with L+H* (i.e. a rise to a peak that is anchored in the stressed syllable) and differentiating this type from L*+H for the earlier rise on dieron and número in Figure 2a, as shown in (1a). Note that the transcription also marks downstep, the progressive compression of pitch range that lowers each subsequent peak relative to the previous one, using !, the downstep diacritic that has become standard in the ToBI framework. That is, L*+!H is the same as L*+H, with peak lowered due to downstep, as shown in (1b).

(1) a. transcription of utterance in Figure 2a

\[ Le\ dieron\ el\ número\ del\ vuelo \]

\[ L^*+H \quad L^*+!H^* \quad L+!H^* \quad L^% \]

b. schematic representation of downstep

\[ Le\ dieron\ el\ número\ del\ vuelo \]

Our choice of two different AM representations for the early and late rise follows Sosa (1999), who shows examples of utterances of the sentence in Figure 2a produced by other speakers of the same dialect. There is a suggestion that this rapid succession of rises in a broad focus sentence gives a sense of lively engagement or emphasis (see Figure 2-27 on p. 129 of the 1999 monograph) and that in a more neutral case, there might be no discernible valley between the last two accents, requiring an alternative analysis for the nuclear accent, possibly as a L* (see Figure 2-3 on p. 110 of Sosa, 1999, and Figure 5 below). Our decision to differentiate an early-rising L*+H prenuclear accent from a late-rising L+H* nuclear or focal accent thus is based on the clear evidence for such a difference in Caracas utterances such as Figures 2a and 3a. Data in Willis (2000) suggest that Dominican Spanish also requires this distinction.

At the Sp_ToBI workshop, we decided to apply the L*+H label to many prenuclear rising accents in Castilian Spanish as well. For example, in tagging the utterance in Figure 3c, we marked the accent on dieron as L*+H to capture the fact that the inflection point that marks the end of the rise on dieron (and the beginning of the rise on el número) is at the end of the unstressed second syllable of the word. The rise on dieron in Figure 3b, by contrast, culminates in a peak before the end of the stressed first syllable. Other researchers, however, have argued against this distinction for at least Castilian and Mexican Spanish. For example, Garrido et al. (1995) and Prieto (1998) claim that there is no categorical difference between the prenuclear and the nuclear accent types, and attribute the observed differences in peak timing to tonal crowding. The accent is simply a rise, with the L anchored to the beginning of the stressed syllable and the timing of the H determined by other factors, such as tonal crowding. In nuclear position, for example, the peak is pushed back into the stressed syllable in order to make room for both it and the upcoming L% tone to be realized. A possible argument for positing two distinct rising accent types even for Castilian Spanish is that the variant with the early peak seems to be found in many non-final words under narrow focus (see

3 The Prague school account of information structure partitions a sentence into “theme” (what the speaker is talking about) and “rheme” (what the speaker says about the theme). Contreras follows Halliday (1967) in assuming that this information structure maps directly onto intonational structure in languages such as Spanish and English.
Nibert (2000) makes the following counter-argument. She notes that rises following a focal accent are often reduced (as in vuelo in Figure 3a) or even eliminated (as in número in Figure 3b). Therefore, she says, there must be another tone inserted at the end of the word here, a L- phrase accent, of the same sort that we see after the accent on a word with early narrow focus in English declarative utterances. The phrase accent compresses the pitch range so that post-focal rises are reduced, or perhaps even deleted (as in Portuguese or Italian “deaccenting”). The earlier peak on a pre-nuclear word under narrow focus, then, can be attributed to the same tonal crowding that Garrido et al. (1995) and Prieto (1998) invoke to explain the earlier peak on the word in nuclear position. On the principle that a Pan Spanish ToBI should over-specify rather than under-specify, however, the Sp_ToBI group recommended differentiating the tags for early and late peaks even for those Peninsular varieties where the difference may be allophonic.

Further justification for this transparently surface transcription is our analysis of the intonation contour illustrated in Figure 4a. The full context is given in (2), with the target sequence underlined. We call this the “redundancy contour” because the pragmatic effect is: “This information is redundant. It is something that I know you know, and think you could have thought of yourself as the motivation or explanation for this opinion or observation.”

(2) Dialog for Figure 4a.
A: A mí me parece normal que todo empiense a su hora.
   ‘I think it is normal for everything to start on time.’
B: Bueno, sí, normal, para la universidad alemana. No para cualquier sitio, o también puede ser quizá normal para un alumno de alemán.
   ‘Well, yeah, normal for a German university. Not for just any place. Or maybe normal for a student of German.’
A: Eso, para un alumno de alemán.
   ‘That’s right, for a student of German.’

The features of interest in this redundancy contour are the timing of the first rise and the shape of the transition to the second rise. The first rise culminates in a peak during the stressed second syllable of normal. From this peak value there is a very gradual, smooth fall to the beginning of the word in nuclear position, with no evidence of a suppressed peak accent on the intervening universidad. That is, even though the rise aligns to the stressed syllable in a way that is more similar to the rise in dieron in Figure 3b than to the rise in the same position in Figure 3c, the subsequent fall differs markedly from the steep fall to the compressed pitch range on número in Figure 3b. Our tentative analysis is that the redundancy contour is an intonational idiom consisting of a pair of L+H* accents at the beginning and end of the target phrase, with no intervening accent. (For comparison, Figure 4b shows another utterance of para la universidad alemana, with universidad accented.) If we are correct about the deaccenting of universidad in Figure 4a, this contour would be an unambiguous case of an early rise that cannot be explained by tonal crowding even in Castilian Spanish.

One final puzzle is the shape and timing of the rises on non-final número in Figure 3c and universidad in Figure 4b. In neither of these cases is the word in narrow focus. Rather, in Figure 3c, there is a narrow focus on the following vuelo, and if either word in Figure 4b has narrow focus, it would be alemana. That is, it seems more plausible that the speaker assumed the books would be going to some university than that they would be going to something German. The segmental allophony in Figure 4b suggests an explanation for the rise alignment pattern. The /d/ at the end of universidad is devoiced. Many of the participants at the Sp_ToBI workshop perceived this [θ] as a cue to the end of some kind of prosodic unit. The speaker himself has “always thought that this was a word-level phenomenon in my dialect” (personal communication), but if the relevant prosodic unit is some kind of intonational phrase, then the medial word in the sentence is final in the phrase and the peak is early because this is in nuclear position. If the phrasing is the same in Figure 3c, then this might explain the percept of narrow focus on vuelo rather

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4 She also claims that the L- marks a phrase boundary at a lower level of intonational phrasing, but this claim must be evaluated separately, since phrase accents in many languages can occur medially, with following pitch accents reduced but not suppressed (see, e.g. Bruce, 1977; D’Imperio, this issue; Grice et al., 2000; Gussenhoven, 2000). We will return to this issue in Section 5.
than on número. That is, the narrow focus cannot be signaled by the peak alignment on vuelo since it would have an early peak in any case, due to its utterance-final position. Putting it in an intonational phrase all by itself might be an alternative focusing device, as suggested by Face (this volume). This analysis also would help explain another obvious difference between Figure 3c and the two broad focus utterances in Figure 2 — namely, presence versus absence of a discernible valley between the rise on dieron and the rise on número. However, these explanations invoke the global phenomenon of upstep, so we defer the discussion until Section 4, and turn to another type that shows no discernible valley between two accents.

An example of this type is the nuclear accent in the clause in Figure 1a. After the prenuclear rise on hubo, pitch remains high for the brief space of the merged syllable at the word boundary, and then falls steeply after the /b/ in hablado. The utterance in Figure 5 shows an even clearer example. After the prenuclear rise on dialectos, pitch remains high and level through the two function words, and then falls abruptly onto the stressed syllable of lengua. At the Sp_ToBI workshop, we transcribed another example of this nuclear configuration in an utterance produced by a Castilian speaker. To account for such cases of early fall with no preceding valley, we proposed a H+L* nuclear pitch accent. This analysis identifies the pitch accents in these two utterances with the broad focus nuclear pitch accent of European Portuguese (Frota, this issue) and of Italian (D’Imperio, this issue). The analysis is compatible with the pitch pattern in several of the utterances that Sosa (1999) transcribes as having a L* nuclear accent, including the utterance (by a Caracas speaker) of Es negra. in Figure 2.11 (p. 116), where there is a pitch fall from the unaccented Es into the stressed syllable of negra. McGory and Díaz-Campos (2000b) also found H+L* in utterances produced by speakers from all major dialect areas. They examined the tunes in an extended narrative read by two speakers each from Argentina, Chile, Colombia, Venezuela, Costa Rica, Puerto Rico, Mexico, and central Spain. They were conservative in tagging pitch accent types, distinguishing among early rises (L+H*), late rises (L*+H), and early falls (H+L*), but also having a fourth category of “NO” for cases where there was no easily categorized pitch movement around the stressed syllable. That is, they marked H+L* only in such clear cases as the utterance in Figure 5, which is an example from their study. The passage they examined is part of the Morgan (2000) database, and consists of five long broad-focus sentences, such as Hay mas de trescientos millones de personas que hablan español, principalmente en España y Latinoamérica. ‘Spanish is spoken by more than 300 million people, primarily in Spain and Latin America.’ and Por razones históricas y geográficas, han divergido los varios dialectos de la lengua. ‘Historical and geographical factors have led to the emergence of various distinct dialects.’ These sentences were pronounced as several intonational phrases by all of the speakers, and the H+L* type was used as the nuclear accent in at least one intonational phrase by speakers of every dialect other than Colombia and Costa Rica. Moreover, it was the nuclear accent type in 70% of the phrases produced by the two speakers from Chile. Further study of more speakers from each of these dialect areas and of the analogous patterns in Portuguese and Italian speakers may illuminate the razones históricas y geográficas for the different distributions that McGory and Díaz-Campos found.  

<Insert Figure 5 about here>
the stressed syllable in mañana, before rising again very sharply at the phrase boundary. In Figure 6b, the Venezuelan speaker produces the same L*+H rising accent on viene, albeit with the even later rise that is characteristic of this dialect. After that, however, pitch first falls sharply and then becomes almost the mirror image of the anticadencia. There is low pitch starting at the stressed syllable of María, then a rise to a brief “shoulder” on the first syllable of mañana, another rise to a high peak in the middle of the stressed syllable, and then a steep fall that levels out at the boundary. In the question without syntactic inversion in Figure 6c, the speaker again produces low pitch over María, followed by a rise over the stressed syllable of viene, leveling out to a more pronounced shoulder, which is followed again by a peak in the middle of the stressed syllable of mañana, and a sharply falling boundary pitch movement. The two utterances differ in informational structure as well as word order. The utterance in Figure 6b is a fairly neutral broad-focus question, whereas the utterance in Figure 6c specifically queries about the time.

At the Sp_ToBI workshop, we discussed the interrogative tunes produced by our two Venezuelan speakers, but did not have time to look closely at the interrogatives produced by JH. Therefore, there is no Sp_ToBI proposal for how to transcribe the nuclear accent on mañana in Figure 6a. Given how low and level the pitch is throughout the stressed syllable of mañana, Sosa’s (1991) transcription of L* for the very similar Mexican interrogative tune in his Figure 25 on p. 174 is appealing. Without a more extensive investigation of how the tune is realized on other word sequences, however, we cannot rule out Alcoba and Murillo’s (1999) analysis of the tune, which tags the nuclear accent as a downstepped target (i.e. !H* in AM notation). Also, while there is clearly a high boundary tone ending the utterance, we cannot tell why this ‘full rise’ ends at a higher level than the two ‘half rises’ in Figure 1. If the H+L* nuclear accent in Figure 1 triggers downstep, then the L* or !H* here does not, and the contrast would be between a downstepped !H% in Figure 1, as schematized in (3a), and the ‘normal’ level for a H% in Figure 6a. Alternatively, if there is a H- phrase accent before the boundary tone in Figure 6a, as suggested by Nibert (2000) for similar tunes in her study, that phrase accent would trigger upstep and the contrast is between a ‘normal’ H% in Figure 1, and an upstepped ¡H% in Figure 6a, as schematized in (3b). (Note the diacritic ¡, the opposite of the downstep diacritic, which the Sp_ToBI conventions specify for marking upstep. Upstep is the opposite of downstep, an expansion of the pitch range that raises subsequent tones.) Rather than commit prematurely to either analysis, the Sp_ToBI group chose to adopt, as a temporary measure, a mid-level boundary tone tag (M%) to differentiate the half rise from the full rise. This decision will be discussed further in Section 5.

The issue of upstep also arose in our discussion of the Venezuelan yes/no questions. At the workshop, we identified the pitch rises over María viene in Figure 6b and over viene mañana in Figure 6c with a functionally different but phonetically comparable pattern in our Castilian speaker’s productions — namely, the rise over Le dieron el número ...

(3) a. downstep of H% after H+L*
   Cuando       hubo       hablado ...?

   ![Graph of downstep of H% after H+L*]

   ![Graph of upstep of H% after H-]

   ![Graph of upstep of H% after H-]

   ![Graph of upstep of H% after H-]

The exact timing of the peak on número is further obscured by the rise to the following H% boundary tone at the phrase edge before the focused vuelo. Hualde (2000) suggests that upstep in declarative utterances in Castilian Spanish is used to partition the sentence into theme and rheme. In Figure 3c, this analysis is particularly attractive, given the focus structure that we specified by the elicitation
context. By this analysis, then, the modality of the Caribbean Spanish interrogative is signaled in the same way that the theme is differentiated from the rheme in the Castilian Spanish declarative.

(4) a. Figure 3c
Le dieron el número del vuelo
| L*+H | L+H* | H% | L+H* | L% |

b. Figure 6c
María viene mañana.
| L* | L*+H | L+H* | L% |

The idea that there is upstep on *mañana* in Figures 6b and 6c is in accord with Sosa’s (1991, 1999) analysis of such “circumflex” Caribbean interrogative contours. That is, he too describes such peaks as upstepped. However, his transcription differs from ours in being considerably more abstract. Rather than mark the upstep directly he attributes it to the choice of pitch accent type. The nuclear accent in utterances such as Figure 6b then is “H+H*”, with the leading H tone not realized directly as a target, but functioning merely to upstep the following peak. Hualde (2000) proposes a third alternative, which is as abstract as Sosa’s. He notes that Nibert (2000) accounts for some boundary pitch movements by positing a H- phrase accent that upsteps the following boundary tone, as in the standard AM analysis of the mainstream North American and standard Southern British English system (Pierrehumbert, 1980; Beckman, Hirschberg, and Shattuck-Hufnagel, in press). Nibert’s upstep trigger is meant to explain local patterns at phrase edges, but Hualde proposes that it also triggers upstep over successive accents prior to the boundary. If we adopt Hualde’s proposal, we can account both for the upstep from *Le dieron* to *número* in Figure 3c and for the further rise to an especially high pitch level just before *del vuelo*. Space limitations preclude a full discussion of the substantive differences among these proposed analyses of upstep, so here we point out merely that the analysis of the Venezuelan interrogative contour needs to be able to account both for the raised peak on *mañana* and for the pitch target at the following boundary, which is lower than the initial target of the L*+H accent on *viene*. We proposed to transcribe the boundary tone as L%, as does Sosa. Some other Caracas questions that we examined show a leveling off of pitch rather than this steep fall. Figure 7 shows two such examples. If we adopt Nibert’s notion of a H- versus L- phrase accents that change the pitch range for a following boundary tone, then it is unclear whether to transcribe the boundary tone in the two utterances here as an upstepped low tone (¡L%, equivalent to Nibert’s H-L%) or as a downstepped high tone (!H%). Again, we proposed to adopt a very surface transcription of such cases using a mid tone (M%) until we have the evidence we need to decide among the competing, more abstract analyses.

Two other aspects of the Venezuelan utterances in Figures 6 and 7 are noteworthy. The first is the contrast in where upstep begins to affect accent peaks. In Figures 6b and 6c, only the nuclear accent on *mañana* is upstepped relative to the preceding material. In Figure 7a, by contrast, the upstepped portion starts not at the final accent, but at *número*, which is in narrow focus in this context. And in Figure 7b, the upstepped region starts even earlier, in keeping with the narrow focus on *dieron*. The shape of the F0 contour after the upstep in Figure 7 is also relevant. The following pitch range is compressed as well as raised, so that there is only a small dip and rise for the L+H* accent on *de vuelo* in both utterances, and a somewhat more pronounced dip and rise for the L*+H accent on *el número* in Figure 7b. These difference among these utterances bears on the issues addressed in the next section.

5. The domain of upstep (and downstep)

Our comparison of interrogative contours across dialects suggested that there is a functional parallel between a local rise to the H% boundary tone in the *anticadencia* (full rise) of Castilian Spanish, illustrated in Figure 6a, and a more global manipulation of backdrop pitch range in the Venezuelan Spanish system, illustrated in Figures 6b through 7. Our comparison across utterances that convey different focus patterns in Figure 7 further shows that the domain of the Venezuelan interrogative upstep does not have to be limited to the accent in final position. Rather, the raised pitch range can affect peaks at least one and possibly two

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6 We asked the speaker of these utterances (the second author of this paper) to listen to them again a year and a half after producing them. He interpreted the utterance in Figure 12a as conveying narrow focus on *número*, meaning something like ‘You said they gave him the flight *number*, right, not the flight’s arrival time?’, whereas Figure 12b seems to convey narrow focus on *dieron* (‘You said the airline gave him the flight number, right? They could tell which flight it was just from the arrival time?’)
accents before the last accented word in the utterance. This fact raises three further questions. First, what is 
the formal difference between a shorter upstep domain, as in Figures 6b and 6c, and a longer one, as in the 
two utterances in Figure 7? Second, is the domain size independent of the phrasing, or is there some phrasal 
domain that functions as an edge for the upstep? Third, does the upstep that we posited for Castilian 
Spanish to explain the shape of the rise in Figure 3c show the same variability in domain, and if so, is the 
pragmatic function similar?

Different researchers have proposed different answers to these questions. Sosa (1991, 1999) 
models upstep as a raising of pitch range triggered by particular accent types. The domain of upstep is then 
all material after the pitch accent that triggers it. There is no relationship to a particular level of phrasing. 
Nibert (2000) models upstep as a raising of the pitch range triggered by a H- phrase accent that raises the 
following boundary tone in an intonational phrase. The H- phrase accent (like the L- phrase accent that she 
posits to model the compression of accents in post-focal position in utterances such as Figures 3a and 3b) is 
associated with a lower-level prosodic unit intermediate between the intonational phrase and the word. 
Hualde (2000) also models upstep as a raising of the pitch range triggered by a H- phrase accent. However, 
his model differs from Nibert’s in that the upstep also affects preceding accent peaks. The domain of 
upstep then is co-extensive with the intermediate phrase and not merely associated with its final edge.

The evidence to decide among these analyses is not yet available. The arguments are complicated 
and require a careful sifting of assumptions. In particular, the issue of upstep (and downstep) domain needs 
to be separated from the issue of whether the upstep (and downstep) trigger is associated with the edge of an 
intermediate phrase. The Sp_ToBI group, therefore, opted for the most conservative compromise among 
these analyses. We do not choose either trigger, but mark upstep (and downstep) directly. We also do not 
provide for phrase accents, but leave gaps in the break indices, as we will describe below.

To give a flavor of the kinds of evidence that would speak to these issues, we review some of 
Nibert’s arguments for the intermediate phrase. The arguments focus on differentiating between cases 
where there is an utterance-medial phrase boundary and cases where there is not, in minimal pairs such as 
(5), which shows her transcriptions of sample utterances by one of her Castilian Spanish speakers.


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‘Yellow irises and lilacs.’

(both flowers are yellow)

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‘Yellow irises and lilacs.’

(possibly only the irises are yellow)

The pitch track for the utterance in (5b) shows the same pattern as in our Figure 2b, and the pitch track for 
the utterance in (5a) shows a pattern that is similar to that in our Figure 3c, with one small difference. As in 
le dieron el número in Figure 3c, there is a rising accent on lilas, followed by a small dip during y, then 
another rise in lirios which reaches a peak value within the stressed syllable which is about 8 Hz higher 
than the peak in lilas. The pattern differs from the contour in Figure 3c in that the pitch then levels off 
momentarily before rising another 13 Hz. Nibert attributes this second rise to the H- tone target. She also 
found that the word-final syllable next to the purported intermediate phrase boundary in the (5a) type is on 
average longer than the same syllable in the (5b) type. In (5), for example, the syllable to be compared is 
the [rjos] of lirios. The combination of this final lengthening both with an accent rise that shows the 
alignment pattern typical of a nuclear accent and with a boundary pitch movement that cannot be accounted 
for by the pitch accent alone is strong evidence of some kind of intonational boundary here. However, 
Nibert does not look at minimal pairs contrasting the purported intermediate phrase boundary with a 
pronounced full intonational phrase boundary. Therefore, we cannot rule out an alternative interpretation that 
equates the boundary here with a full intonational phrase boundary, as in our Figure 1.

It would be interesting to compare the amount of final lengthening on lirios in a minimal triplet 
that includes a list such as lilas o lirios o narcisos as well as the two phrase types in (5). Figure 8 shows 
such a list intonation. There is a full rise on vuelo, in contrast to the half rise on hablado in Figure 1a. If 
the list production of lilas o lirios o narcisos shows the same extensive rise on lirios that we see on vuelo in 
Figure 8, and has even more final lengthening than in the (5a) type, then we might interpret the larger rise 
as a sequence of H- phrase accent and upstepped H% boundary tone at a full intonational phrase, in contrast 
with just the H- phrase accent at the intermediate phrase boundary in (5a) and our Figure 3c. Nibert (2000)
does include some examples of this listing contour type, in order to contrast a purportedly H- phrase accent with a purportedly L- phrase accent. Her examples are shown in (6), with her transcriptions.

(6) a. Nibert (2000), Example (19), Utterance 3
¿Prefieres el libro de números o láminas?
H* H* H- H* L-L%
‘Do you prefer the numerals book or pictures?’
(exhaustive list)

b. Nibert (2000), Example (19), Utterance 4
¿Prefieres el libro de números o láminas?
H* | L+H* | L- L+H* L- L%
‘Do you want the numerals book? or pictures?.’
(list not exhaustive)

The pitch track for the utterance in (6a) shows a rise of more than 50 Hz during the word números, which is quite similar to the rise in vuelo in both utterances in our Figure 8. However, she transcribes this only as H- and not as a H- H% sequence. Several other examples that she has transcribed in the same way (and which she includes in calculating the average syllable duration at the purported intermediate phrase boundary) also show this unexplained difference from utterances such as (5a). Some of these even had large pauses after the purported intermediate phrase boundary. A fact that is puzzling about Nibert’s data is that there seemed to be a more pronounced final lengthening at intermediate phrases marked by H- than at intermediate phrases marked by L-. However, she shows only the average values and does not examine the full distribution of values within the two groups. Thus it is possible that the apparently greater final lengthening at boundaries marked with H- is an artifact of averaging over syllables that are final in an intermediate phrase (with only H-) and syllables that are final in a full intonational phrase (with a H- H% sequence). Looking at the distribution of values — e.g. in a scatterplot of the extent of each rise as a function of the final syllable duration — would be more informative than comparing the average values for either measure.

In short, while there is much that is suggestive of one or more levels of potentially tonally marked prosodic units below the intonational phrase, the evidence is not yet conclusive for even one dialect, much less for the Spanish system as a whole. Therefore, the Sp_ToBI group decided to begin by differentiating among only three types of juncture on the break indices tier. As in the original ToBI system for English, the default value for an “ordinary” inter-word juncture is 1. The value 0 marks cases where there is clear segmental evidence of a closer inter-word juncture, such as the syllable reduction at the juncture between hubo and hablado in Figure 1. The value 4 marks cases where there is clear tonal and segmental evidence of some kind of intonational phrase break, such as the continuation rise and marked final lengthening at the boundary between hablado and Juan in Figure 1a. Marking these cases with 4 reserves index values 3 and 2 to mark one or two more prosodic units below the intonational phrase, if we can formulate more definitive criteria for distinguishing them from instances of the full intonational break that we mark with 4. In order to formulate such criteria, we need to reexamine existing data sets more carefully, using histograms, scatterplots, and regression analyses rather than averages and ANOVA. Also, we need to design and conduct other studies of the relationships among global trends such as downstep or the raised pitch of the Venezuelan questions, final lengthening and segmental allophony, and the local pitch shapes at candidate phrase boundaries. For example, we need to systematically compare and test the different predictions of Sosa’s (1999), Nibert’s (2000), and Hualde’s (2000) accounts of upstep. We need to do this on a dialect-by-dialect basis, and not assume that if we can formulate definitive criteria for a particular level of prosodic grouping in one dialect that these criteria are applicable in all or in any other dialects.

6. The current set of Sp_ToBI annotation conventions

In this paper, we have reviewed some of the literature on intonation across Spanish, and discussed several of the examples that we recorded and discussed together in our own effort to understand this literature and implement it in a consensus Sp_ToBI system. While the evidence for some of the tones and tonally marked prosodic structures in some dialects is clear, it is also clear that much work remains to be done before Sp_ToBI can become the standard communal resource that some of the older ToBI systems already are. We have raised far more questions than can be answered now or in the near future. At the same time, we hope that we have managed to convey the spirit of cautious enthusiasm with which we have approached this endeavor. We summarize by listing the preliminary set of conventions in (7), illustrating them with
reference to the utterances that we have discussed in this paper. (See Mendoza-Denton, 1999, and McGory and Díaz-Campos, 2000a, for further illustrations, with accompanying sound files.}

(7) The Sp>ToBI system to date:

**words tier:**
- Make an ordinary orthographic transcription and segment the utterance into orthographic words, as in ToBI systems for other languages with alphabetic writing systems.

**syl tier:**
- Transcribe the segments, syllable by syllable. To make these labels portable across platforms, the transcription should be in some ASCII tag set, such as the SAMPA alphabet (Wells, 1989). Until we have an automatic tool for aligning such a transcription automatically with the audio file, spend time on this tier just for syllables with potentially revealing segmental allophony — e.g. the devoiced dental fricative in the last syllable of *universidad* in Figure 4.

**break indices tier:**
- Mark subjective sense of disjuncture between each pair of words and before each pause, as in other ToBI systems. Distinguish among the following three levels:
  0 any clear example of “syllabic reduction via vowel contact between words” — e.g. between *hubo* and *hablado* in Figure 1a
  1 any other “ordinary” inter-word juncture — e.g. between *Juan* and *se* in Figure 1a
  4 intonational phrase — e.g. between *hablado* and *Juan* in Figure 1a
- Reserve 2 and 3 for potential units with clear phonological markers and a sense of disjuncture intermediate between 4 and 1; candidates include intermediate phrase, tonic group, clitic group.

**tones tier:**
- Distinguish among the following three accent types:
  - **L*+H** late rising accent, with peak after the stressed syllable and valley toward the beginning (prenuclear accent in Mexican Spanish and some Peninsular varieties, focal accent in the Catalan-speaking region of Spain) or toward the middle of the stressed syllable (prenuclear accent in at least some Caribbean varieties) — e.g. accent on *dieron* in Figures 2a and 2b
  - **L+H** early rising accent, with peak during the stressed syllable (Peninsular varieties) or just after the end of the stress syllable if the syllable is intrinsically short (Caribbean varieties) — e.g. accent on *dieron* in Figure 3b and on *alemana* in Figure 5b
  - **H+L** a clear fall from a preceding higher pitch onto lower pitch during the stressed syllable, starting at about the rhyme onset — e.g. accent on *lengua* in Figure 7
- When a syllable sounds accented, but is difficult to identify as one of the above three or its downstepped or upstepped counterpart, use one of the following as a place holder for later reanalysis after the inventory of tunes in the dialect is better understood:
  - **H** a clear small peak during the accented syllable, at about the same level as a clear prior L*+H, when the lack of a minimum cannot be attributed to upstep and undershoot — e.g. accent on *mañana* in Figure 11b
  - **!** the percept of accent on a syllable where the pitch shape is too ambiguous even for H* — e.g. accent on *número* in Figure 3b
- Mark upstep and downstep directly on the accent, as in:
  - **L*+!H** downstepped variant of L*+H — e.g. accent on *número* in Figures 2a and 2b
  - **L+!H** downstepped variant of L+H* — e.g. accent on *vuelo* in Figures 2a and 2b
  - **¡L+H** upstepped variant of L+H* — e.g. accent on *amaba* in Figure 4a
- Distinguish between the following two boundary pitch shapes:
  - **L** fall to a lower F0 after L+H*, etc., or maintenance of a low F0 after H+L*
  - **H** rise to a higher F0 after any accent
- Do not choose prematurely between ¡L% and !H% analyses for a dialect without an established tone inventory. Instead mark:
  - **M** half rise or mid-level plateau after a L+H*, H*, etc.

**misc tier:**
- Tag such phenomena as hesitation pauses, dysfluencies, laughter, or anything else which complicates the analysis of the tone pattern and/or the phrasing. Also use this tier keep track of alternative analyses that are proposed for the utterance.
code tier:
• Identify the dialect (and sociolect) of the speaker, if known. Eventually, we hope to be able to use
this tier to mark instances of intonational code switching as well.

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