Language and Computers (Ling 384)
Topic 5: Machine Translation
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1 Introduction

What is Machine Translation?

Translation is the process of:
- moving texts from one (human) language (source language) to another (target language),
- in a way that preserves meaning.

Machine translation (MT) automates (part of) the process:
- Fully automatic translation
- Computer-aided (human) translation

What is MT good for?
- When you need the gist of something and there are no human translators around:
  - translating e-mails & webpages
  - obtaining information from sources in multiple languages (e.g., search engines)
- If you have a limited vocabulary and a small range of sentence types:
  - translating weather reports
  - translating technical manuals
  - translating terms in scientific meetings
  - determining if certain words or ideas appear in suspected terrorist documents → help pin down which documents need to be looked at closely
- If you want your human translators to focus on interesting/difficult sentences while avoiding lookup of unknown words and translation of mundane sentences.

Is MT needed?
- Translation is of immediate importance for multilingual countries (Canada, India, Switzerland, . . .), international institutions (United Nations, International Monetary Fund, World Trade Organization, . . .), multinational or exporting companies.
- The European Union used to have 11 official languages, since May 1, 2004 it has 20. All federal laws and other documents have to be translated into all languages.

What is MT not good for?
- Things that require subtle knowledge of the world and/or a high degree of (literary) skill:
  - translating Shakespeare into Navajo
  - diplomatic negotiations
  - court proceedings
  - . . .
- Things that may be a life or death situation:
  - Pharmaceutical business
  - Automatically translating frantic 911 calls for a caller who speaks only Spanish
1.1 Examples for Translations

Example translations

• It will help to look at a few examples of real translation before talking about how a machine does it.
• Take the simple Spanish sentence and its English translation below:

(1) Yo hablo español.
I speak Spanish.

= Words in this example pretty much translate one-for-one
= But we have to make sure hablo matches with Yo, i.e., that the subject agrees with the form of the verb.

Example translations

The order and number of words can differ:

(2) a. Tu hablas español? You speak Spanish
   ‘Do you speak Spanish?’

b. Hablas español? Speak Spanish
   ‘Do you speak Spanish?’

What goes into a translation

Some things to note about these examples and thus what we might need to know to translate:

• Words have to be translated. → dictionaries
• Words are grouped into meaningful units. (cf., our discussion of syntax for grammar checkers).
• Word order can differ from language to language.
• The forms of words within a sentence are systematic, e.g., verbs have to be conjugated, etc.

Different approaches to MT

• Transformer systems
• Systems based on linguistic knowledge
  – Direct transfer systems
  – Interlinguas
• Machine learning approaches

Most of these use dictionaries in one form or another, so we will start by looking at dictionaries.

2 Background: Dictionaries

Dictionaries

An MT dictionary differs from a “paper” dictionary:

• must be computer-usable (electronic form, indexed)
• contain the inherent properties (meaning) of a word
• need to be able to handle various word inflections

have is the dictionary entry, but we want the entry to specify how to conjugate this verb.

Dictionaries (cont.)

• contain (syntactic and semantic) restrictions it places on other words
  – e.g., Subcategorization information: give needs a giver, a person given to, and an object that is given
  – e.g., Selectional restrictions: if X is eating, then X must be animate.
• may also contain frequency information
• can be hierarchically organized, e.g.:
  – all nouns have person, number, and gender
  – verbs (unless irregular) conjugate in the past tense by adding ed.

What dictionary entries might look like

• WORD: knob
  PART OF SPEECH: NOUN
  HUMAN: NO
  CONCRETE: YES
  GERMAN: Knopf

• WORD: knowledge
  PART OF SPEECH: NOUN
  HUMAN: NO
  CONCRETE: NO
  GERMAN: Wissen, Kenntnisse

  – There can be extra rules which tell you whether to choose Wissen or Kenntnisse.

A dictionary entry with frequency

• WORD: knowledge
  PART OF SPEECH: NOUN
  HUMAN: NO
  CONCRETE: NO
  GERMAN: Wissen: 80%, Kenntnisse: 20%
• Probabilities can be derived from various machine learning techniques → to be discussed later.
3 Transformer approaches

**Transformer approaches**

- **Transformer architectures** transform example sentences from one language into another.
- They consist of:
  - a grammar for the source/input language
  - a source-to-target language dictionary
  - source-to-target language rules
- Note that there is no grammar for the target language, only mappings from the source language.

**An example for the transformer approach**

We’ll work through a German-to-English example.

1. Using the grammar, assign parts-of-speech:
   (4) Drehen verb Sie pron. den article Knopf noun eine article Position noun zurück. prep.

2. Using the grammar, give the sentence a (basic) structure
   (5) Drehen Sie [den Knopf] [eine Position] zurück.

3. Using the dictionary, find the target language words
   (6) Drehen verb Sie pron. den article Knopf noun [eine Position] zurück.

4. Using the source-to-target rules, reorder, combine, eliminate, or add target language words, e.g.,
   - ‘back’ goes with ‘turn’: reorder ‘back’ after ‘the knob’
   - because ‘Drehen . . . zurück’ is a command, in English it is expressed without ‘you’.

⇒ End result: Turn the knob back one position.

**Transformers: Less than meets the eye**

- By their very nature, transformer systems are non-reversible because they lack a target language grammar.
  - If we have a German to English translation system, for example, we are incapable of translating from English to German.
- However, as these systems do not require sophisticated knowledge of the target language, they are usually very robust = they will return a result for nearly any input sentence.

4 Linguistic knowledge-based systems

**Linguistic knowledge-based systems**

- Linguistic knowledge-based systems include knowledge of both the source and the target languages.
- We will look at direct transfer systems and then the more specific instance of interlinguas.
  - Direct transfer systems
  - Interlinguas

**4.1 Direct transfer systems**

A direct transfer systems consists of:

- A source language grammar
- A target language grammar
- Rules relating source language underlying representation to target language underlying representation

**Direct transfer systems (cont.)**

- A direct transfer system has a transfer component which relates a source language representation with a target language representation.
- This can also be called a comparative grammar.
- We’ll walk through the following German to English example:

  (7) Der Tisch gefallen Paul.

  the table is pleasing to Paul
  ‘Paul likes the table.’

**Steps in a transfer system**

1. source language grammar analyzes the input and puts it into an underlying representation (UR).

   Der Tisch gefallen Paul → Der Tisch gefallen Paul (source UR)

2. The transfer component relates this source language UR (German UR) to a target language UR (English UR).

   German UR → English UR
   \[ X \text{falls} Y \leftrightarrow \text{Eng}(Y) \text{like Eng}(X) \]

   (where \text{Eng}(X) means the English translation of X)

   Der Tisch gefallen Paul (source UR) → Paul like the table. (target UR)

3. target language grammar translates the target language UR into an actual target language sentence.

   Paul like the table → Paul likes the table
Things to note about transfer systems

- The transfer mechanism is essentially reversible; e.g., the gefallen rule works in both directions (at least in theory).
- Because we have a separate target language grammar, we are able to ensure that the rules of English apply; like → likes.
- Word order is handled differently than with transformers: the URs are essentially unordered.
- The underlying representation can be of various levels of abstraction – words, syntactic trees, meaning representations, etc.; we will talk about this with the translation triangle.

Caveat about reversibility

- It seems like reversible rules are highly desirable—and in general they are—but we may not always want reversible rules.
  - e.g., Dutch aanvragen should be translated into English as begin, but English begin should be translated into Dutch as beginnen.

4.2 Interlingua-based systems

Levels of abstraction

- There are differing levels of abstraction at which transfer can take place. So far we have looked at URs that represent only word information.
- We can do a full syntactic analysis, which helps us to know how the words in a sentence relate.
- Or we can do only a partial syntactic analysis, such as representing the dependencies between words.

Czech-English example

(8) Kaufman & Broad odmítla institucionální investory jmenovat.

Kaufman & Broad declined institutional investors to name/identify
‘Kaufman & Broad refused to name the institutional investors.’

Example taken from Čmejrek, Cuřín, and Havelka (2003).

- They find the base forms of words (e.g., obmitnout 'to decline' instead of odmíti 'declined')
- They find which words depend on which other words and represent this in a tree (e.g., the noun investory depends on the verb odmítla)
- This dependency tree is then converted to English (comparative grammar) and re-ordered as appropriate.

Dependency tree for Czech-English example

Interlingua example

- Ideally, we could use an interlingua = a language-independent representation of meaning.
- Benefit: To add new languages to your MT system, you merely have to provide mapping rules between your language and the interlingua, and then you can translate into any other language in your system.
- What your interlingua looks like depends on your goals; an example for I shot the sheriff is shown on the following slide.

Interlingua example
5 Machine learning-based systems

Machine learning

- Instead of trying to tell the MT system how we’re going to translate, we might try a **machine learning** approach = the computer will learn how to translate based on example translations.
- For this, we need
  - examples of translations as **training data**, and
  - a way of learning from that data.

Using frequency (statistical methods)

- We can look at how often a source language word is translated as a target language word, i.e., the frequency of a given translation, and choose the most frequent translation.
- But how can we tell what a word is being translated as? There are two different cases:
  - We are told what each word is translated as: **text alignment**
  - We are not told what each word is translated as: use a **bag of words**

5.1 Alignment

Text alignment

Sometimes humans have provided informative training data:

- sentence alignment
- word alignment

Sentence alignment

- **sentence alignment** = determine which source language sentences align with which target language ones (what we assumed in the bag of words example).
- Intuitively easy, but can be difficult in practice since different languages have different punctuation conventions.

Word alignment

- **word alignment** = determine which source language words align with which target language ones
  - Much harder than sentence alignment to do automatically.
  - But if it has already been done for us, it gives us good information about what a word’s translation equivalent is.
Different word alignments

- One word can map to one word or to multiple words. Likewise, sometimes it is best for multiple words to align with multiple words.
- English-Hungarian examples:
  - one-to-one: well = jól
  - one-to-many: round = kir alakú
  - many-to-one: to play the guitar = gitározik
  - many-to-many: even though = még ha ... is ('even if ... also')

Calculating probabilities

- With word alignments, it is relatively easy to calculate probabilities.
- e.g., What is the probability that run translates as rennen in German?
  1. Count up how many times run appears in the English part of your bi-text. e.g., 500 times
  2. Out of all those times, count up how many times it was translated as (i.e., aligns with) rennen. e.g., 275 (out of 500) times.
  3. Divide to get a probability: 275/500 = 0.55, or 55%

Word alignment difficulties

- Knowing how words align in the training data will not tell us how to handle the new data we see.
  - we may have many cases where fool is aligned with the Spanish engañar = 'to fool'
  - but we may then encounter a fool, where the translation should be tonto (male) or tonita (female)
- So, word alignment only helps us get some frequency numbers; we still have to do something intelligent with them.

Word alignment difficulties (cont.)

- Sometimes it is not even clear that word alignment is possible.
  
(9) Kati fotós.
  'Kati is a photographer.'
- What does is align with?
- In cases like this, a word can be mapped to a "null" element in the other language.

The “bag of words” method

- What if we’re not given word alignments?
- How can we tell which English words are translated as which German words if we are only given an English text and a corresponding German text?
  - We can treat each sentence as a bag of words = unordered collection of words.
  - If word A appears in a sentence, then we will record all of the words in the corresponding sentence in the other language as appearing with it.

Example for bag of words method

- English He speaks Hungarian well.
- Hungarian Ő jól beszél magyarul.

<table>
<thead>
<tr>
<th>Eng</th>
<th>Hung</th>
</tr>
</thead>
<tbody>
<tr>
<td>He</td>
<td>Ő</td>
</tr>
<tr>
<td>jól</td>
<td>beszél</td>
</tr>
<tr>
<td>well</td>
<td>magyarul</td>
</tr>
</tbody>
</table>

The idea is that, over thousands, or even millions, of sentences, He will tend to appear more often with Ő, speaks will appear with beszél, and so on.

Example for bag of words method

So, for He in He speaks Hungarian well/Ő jól beszél magyarul, we do the following:

1. Count up the number of Hungarian words: 4.
2. Assign each word equal probability of translation: 1/4 = .25, or 25%.

Example for bag of words method

If we also have He is a photographer/Ő fotós., then for He, we do the following:

1. Count up the number of possible translation words: 4 from the first sentence, 2 from the second = 6 total.
2. Count up the number of times Ő is the translation = 2 times out of 6 = 1/3 = 0.33, or 33%.

Every other word has the probability 1/6 = 0.17, or 17%, so On is clearly the best translation for Ő.
6 What makes MT hard?

What makes MT hard?
We’ve seen how MT systems can work, but MT is a very difficult task because languages are vastly different. They differ:

- Lexically: In the words they use
- Syntactically: In the constructions they allow
- Semantically: In the way meanings work
- Pragmatically: In what readers take from a sentence.

In addition, there is a good deal of real-world knowledge that goes into a translation.

Lexical ambiguity
Words can be *lexically ambiguous* = have multiple meanings.

- *bank* can be a financial institution or a place along a river.
- *can* can be a cylindrical object, as well as the act of putting something into that cylinder (e.g., *John cans tuna*), as well as being a word like *must*, *might*, or *should*.

⇒ We have to know which meaning before we translate.

How words divide up the world (lexical issues)
Words don’t line up exactly between languages. Within a language, we have synonyms, hyponyms, and hypernyms.

- *sofa* and *couch* are synonyms (mean the same thing)
- *sofa* is a hyponym (more specific term) of *furniture*
- *furniture* is a hypernym (more general term) of *sofa*

Synonyms
Often we find *synonyms* between two languages (as much as there are synonyms within a language):

- English *book* = Hungarian *könyv*
- English *music* = German *Musik*

But words don’t always line up exactly between languages.

Hypernyms and Hyponyms

- English *hypernyms* = words that are more general in English than in their counterparts in other languages
  - English *know* is rendered by the French *savoir* (‘to know a fact’) and *connaître* (‘to know a thing’)
  - English *library* is German *Bücherei* if it is open to the public, but *Bibliothek* if it is intended for scholarly work.
- English *hyponyms* = words that are more specific in English than in their foreign language counterparts.
  - The German word *Berg* can mean either *hill* or *mountain* in English.
  - The Hungarian word *láb* can mean either *leg* or *foot*.

Semantic overlap
And then there’s just fuzziness, as in the following English and French correspondences

- *leg* = *etape* (journey), *jambe* (human), *pied* (chair), *patte* (animal)
- *foot* = *pied* (human), *patte* (bird)
- *paw* = *patte* (animal)

Venn diagram of semantic overlap

Lexical gaps
Sometimes there is no simple equivalent for a word in a language, and the word has to be translated with a more complex phrase. We call this a *lexical gap* or *lexical hole*.

- French *gratiner* means something like 'to cook with a coating of bread crumbs and cheese'
- Hebrew *stam* means something like 'I’m just kidding’ or ‘Nothing special.’
Light verbs
Some verbs carry little meaning, so-called light verbs
• French faire une promenade is literally ‘make a walk,’ but it has the meaning of the English take a walk
• Dutch een poging doen ‘do an attempt’ means the same as the English make an attempt

Idioms
And we often face idioms = expressions whose meaning is not made up of the meanings of the individual words.
• e.g., English kick the bucket
  = approximately equivalent to the German ins Gras beißen (‘bite into the grass’)
  = but we might want to translate it as sterben (‘die’)
  = and we want to treat it differently than kick the table

Idiosyncrasies
There are idiosyncratic choices among languages, e.g.:
• English heavy smoker
• French grand fumeur (‘large smoker’)
• German starker Raucher (‘strong smoker’)

Taboo words
There are taboo words = words which are “forbidden” in some way or in some circumstances (i.e., swear/curse words)
• You, of course, know several English examples. Note that the literal meanings of these words lack the emotive impact of the actual words.
  Other languages/cultures have different taboos: often revolving around death, body parts, bodily functions, disease, and religion.
• e.g., The word ‘skin’ is taboo in a Western Australian (Aboriginal) language (http://www.aija.org.au/online/ICABenchbook)
  Imagine encountering the word ‘skin’ in English and translating it without knowing this.

Structure and word order differences
• Word order (and syntactic structure) differs across languages.
  E.g., in English, we have what is called a subject-verb-object (SVO) order, as in (10).
  (10) John punched Bill.
  SUBJECT VERB OBJECT
• In contrast, Japanese is SOV, Arabic is VSO. Dyirbal (Australian aboriginal language) has free(r) word order.
• MT systems have to account for these differences.

More on word order differences
• Sometimes things are conceptualized differently in different languages, e.g.:
  (11) a. My name is Adriane.
  b. Ich heiße Adriane. (German)
  I go-by-name-of Adriane
  c. Je m’appelle Adriane. (French)
  I myself call Adriane
  d. Engem Adriennem hivnak. (Hungarian)
  Me Adriane they call
  Words don’t really align here.

How syntactic grouping and meaning relate (Syntax/Semantics)
Even within a language, there are syntactic complications. We can have structural ambiguities = sentences where there are multiple ways of interpreting it.

(12) John saw the boy (with the binoculars).
  with the binoculars can refer to either the boy or to how John saw the boy.
  • This difference in structure corresponds to a difference in what we think the sentence means, i.e., meaning is derived from the words and how they are grouped.
  • Do we attempt to translate only one interpretation? Or do we try to preserve the ambiguity in the target language?

How language is used (Pragmatics)
Translation becomes even more difficult when we try to translate something in context.
• Thank you is usually translated as merci in French, but it is translated as s’il vous plaît ‘please’ when responding to an offer.
• Can you drive a stick-shift? could be a request for you to drive my manual transmission automobile, or it could simply be a request for information about your driving abilities.

Real-world knowledge
• Sometimes we have to use real-world knowledge to figure out what a sentence means.
  (13) Put the paper in the printer. Then switch it on.
  • We know what it refers to only because we know that printers, not paper, can be switched on.
Ambiguity resolution

- If the source language involves ambiguous words/phrases, but the target language does not have the same ambiguity, we have to resolve ambiguity before translation. e.g., the hyponyms/hypernyms we saw before.
- But sometimes we might want to preserve the ambiguity, or note that there was ambiguity or that there are a whole range of meanings available.

⇒ In the Bible, the Greek word *hyper* is used in 1 Corinthians 15:29; it can mean ‚over‘, ‚for‘, ‚on behalf of‘, and so on. How you treat it affects how you treat the theological issue of salvation of the dead. So, people care deeply about how you translate this word, yet it is not entirely clear what English meaning it has.

7 Evaluating MT systems

Evaluating MT systems

- We’ve seen some translation systems and we know that translation is hard.
- The question now is: How do we evaluate MT systems, in particular for use in large corporations as likely users?
  - How much change in the current setup will the MT system force?
    Translator tasks will change from translation to updating the MT dictionaries and post-editing the results.
  - How will it fit in with word processors and other software?
  - Will the company selling the MT system be around in the next few years for support and updates?
  - How fast is the MT system?
  - How good is the MT system (quality)?

Evaluating quality

- **Intelligibility** = how understandable the output is
- **Accuracy** = how faithful the output is to the input
- **Error analysis** = how many errors we have to sort through (and how do the errors affect intelligibility & accuracy)
- **Test suite** = a set of sentences that our system should be able to handle

Intelligibility

Intelligibility Scale (from Arnold et al., 1994)

1. The sentence is perfectly clear and intelligible. It is grammatical and reads like ordinary text.
2. The sentence is generally clear and intelligible. Despite some inaccuracies or infelicities of the sentence, one can understand (almost) immediately what it means.
3. The general idea of the sentence is intelligible only after considerable study. The sentence contains grammatical errors and/or poor word choices.
4. The sentence is unintelligible. Studying the meaning of the sentence is hopeless; even allowing for context, one feels that guessing would be too unreliable.

8 References

Further reading

Some of the examples are adapted from the following books: